

# Note on RTTOV v12 unit conversions for gases, clouds and aerosols

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## 1 Introduction

This note describes the conversion of units applied within RTTOV v12 for gases, clouds and aerosols.

### 1.1 Gases

The optical depth regression coefficients are trained using atmospheric predictors calculated from gas concentrations in units of ppmv over dry air. All calculations within RTTOV which use the input gas profiles (e.g. the optical depth predictor calculations, the local path calculations, the relative humidity calculations for aerosol optical property interpolation, and the Rayleigh scattering calculations) expect gas concentrations in units of ppmv over dry air.

RTTOV v12 allows users to input gas profiles in units of ppmv over moist air,  $\text{kg kg}^{-1}$  over moist air or ppmv over dry air. This document derives the formulae used to convert the input profiles to units of ppmv over dry air. These formulae are also implemented in the test suite to allow input profile data in any one of the three unit options to be converted for input to RTTOV in one of the other units.

### 1.2 Clouds/Aerosols

The cloud and aerosol optical depth calculations are computed in terms of liquid/ice water content ( $\text{g m}^{-3}$ ) for clouds and number concentration ( $\text{cm}^{-3}$ ) for aerosols. RTTOV v12 provides the option for users to supply both cloud and aerosol profiles in  $\text{kg kg}^{-1}$  over moist air. This document describes the conversions applied for cloud and aerosol concentrations.

## 2 Constants and quantities

(a) Physical constants and their values in `rttov_const.F90`

$N_A$	$6.022\,140\,857 \times 10^{23}$	Avogadro's number (number of molecules in one mole)
$k_B$	$1.380\,648\,52 \times 10^{-23} \text{ J K}^{-1}$	Boltzmann constant
$R$	$8.314\,459\,8 \text{ J mol}^{-1} \text{ K}^{-1}$	Ideal gas constant ( $=N_A k_B$ ; <code>rgc</code> in <code>rttov_const.F90</code> )

Mean molar masses

$M_{dry}$	$28.9644 \text{ g mol}^{-1}$	( <code>mair</code> in <code>rttov_const.F90</code> )
$M_{h_2o}$	$18.01528 \text{ g mol}^{-1}$	( <code>mh2o</code> in <code>rttov_const.F90</code> )
$M_{o_3}$	$47.9982 \text{ g mol}^{-1}$	
$M_{co_2}$	$44.0095 \text{ g mol}^{-1}$	
$M_{ch_4}$	$16.04246 \text{ g mol}^{-1}$	
$M_{n_2o}$	$44.0128 \text{ g mol}^{-1}$	
$M_{co}$	$28.0101 \text{ g mol}^{-1}$	
$M_{so_2}$	$64.064 \text{ g mol}^{-1}$	

(b) Gas law - volume  $V$  ( $\text{m}^3$ ) of ideal gas at temperature  $T$  (K)

$P$	hPa	Total gas pressure
$P_{dry}$	hPa	Partial pressure of dry air
$n_{moist}$	$\text{mol m}^{-3}$	Mole density of moist air
$n_{dry}$	$\text{mol m}^{-3}$	Mole density of dry air
$\rho_{moist}$	$\text{kg m}^{-3}$	Density of moist air
$\rho_{dry}$	$\text{kg m}^{-3}$	Density of dry air

For unit volume:  $10^2 P = n_{air} N_A k_B T$

(c) Quantities for gas  $j$

$n_j$	$\text{mol m}^{-3}$	Mole density
$M_j$	$\text{g mol}^{-1}$	Molar mass
$\rho_j$	$\text{kg m}^{-3}$	Density ( $=10^{-3} n_j M_j$ )
$P_j$	hPa	Partial pressure
$e$	hPa	Partial pressure of water vapour
$q_j$	$\text{kg kg}^{-1}$	Mass mixing ratio over moist air
$r_j$	$\text{kg kg}^{-1}$	Mass mixing ratio over dry air
$x_j^{moist}$	$\mu\text{mol mol}^{-1}$ or ppmv	Mole fraction over moist air
$x_j^{dry}$	$\mu\text{mol mol}^{-1}$ or ppmv	Mole fraction over dry air

(d) Quantities for mixtures

$\Sigma_j$		Sum over all gases in dry air
$\Sigma_j n_j$	$\text{mol m}^{-3}$	Mole density of dry air ( $n_{dry}$ )
$\Sigma_j n_j + n_{h_2o}$	$\text{mol m}^{-3}$	Mole density of moist air ( $n_{moist}$ )

(e) Cloud quantities

$LWC$	$\text{g m}^{-3}$	Liquid water content
$IWC$	$\text{g m}^{-3}$	Ice water content
$q_{ice}$	$\text{kg kg}^{-1}$	Mass mixing ratio for ice cloud over moist air
$q_{liq}$	$\text{kg kg}^{-1}$	Mass mixing ratio for liquid cloud over moist air

(f) aerosol quantities for type  $i$

$q_i$	$\text{kg kg}^{-1}$	Mass mixing ratio over moist air
$N_i$	$\text{cm}^{-3}$	Number concentration
$\rho_i$	$\text{kg m}^{-3}$	Density
$n_i(r)$		Particle size distribution
$r$	$\mu\text{m}$	Size
$M_i^*$	$\text{g m}^{-3}/(\text{part.cm}^{-3})$	Conversion term between mass concentration and number concentration

### 3 The unit conversions for gases

The mean molar mass of moist air,  $M_{moist}$ , takes all gases into account including water vapour:

$$M_{moist} = \frac{\Sigma_j n_j M_j + n_{h_2o} M_{h_2o}}{\Sigma_j n_j + n_{h_2o}} = 10^3 \frac{\rho_{moist}}{n_{moist}} \quad (1)$$

The equivalent formula for dry air is:

$$M_{dry} = \frac{\Sigma_j n_j M_j}{\Sigma_j n_j} = 10^3 \frac{\rho_{dry}}{n_{dry}} \quad (2)$$

The value of  $M_{dry}$  is considered constant in RTTOV (see section 2(a)) and is stored in the parameter `mair` in `rttov_const.F90`. The mass mixing ratio for gas  $j$  over moist air is:

$$q_j = \frac{n_j M_j}{\sum_j n_j M_j + n_{h2o} M_{h2o}} = \frac{n_j M_j}{n_{moist} M_{moist}} = \frac{\rho_j}{\rho_{moist}} \quad (3)$$

Similarly the mass mixing ratio for gas  $j$  over dry air is:

$$r_j = \frac{n_j M_j}{\sum_j n_j M_j} = \frac{n_j M_j}{n_{dry} M_{dry}} = \frac{\rho_j}{\rho_{dry}} \quad (4)$$

In particular we have:

$$q_{h2o} = \frac{n_{h2o} M_{h2o}}{\sum_j n_j M_j + n_{h2o} M_{h2o}} \quad (5)$$

and

$$r_{h2o} = \frac{n_{h2o} M_{h2o}}{\sum_j n_j M_j} \quad (6)$$

From this we obtain expressions to convert between  $\text{kg kg}^{-1}$  over moist air and  $\text{kg kg}^{-1}$  over dry air:

$$q_j = \frac{r_j}{1 + r_{h2o}} \quad (7)$$

and

$$r_j = \frac{q_j}{1 - q_{h2o}} \quad (8)$$

The mole fraction is the number of moles of a gas within one mole of the mixture (moist or dry air). This is the volume mixing ratio because, at the given temperature, a mole of each ideal constituent will take up exactly the same volume. This is therefore also the ratio of mole densities:

$$10^{-6} x_j^{moist} = \frac{n_j}{\sum_j n_j + n_{h2o}} = \frac{P_j/RT}{P/RT} = \frac{P_j}{P} \quad (9)$$

Similarly for dry air:

$$10^{-6} x_j^{dry} = \frac{n_j}{\sum_j n_j} = \frac{P_j/RT}{P_{dry}/RT} = \frac{P_j}{P - e} \quad (10)$$

Using equations (9) and (10) and substituting for  $e/P$  from equation (9) applied to water vapour we have:

$$x_j^{moist} = x_j^{dry} \left( \frac{P - e}{P} \right) = x_j^{dry} \left( 1 - \frac{e}{P} \right) = x_j^{dry} (1 - 10^{-6} x_{h2o}^{moist}) \quad (11)$$

This provides the expression to convert from ppmv over moist air to ppmv over dry air:

$$x_j^{dry} = \frac{x_j^{moist}}{1 - 10^{-6} x_{h2o}^{moist}} \quad (12)$$

We can also write, using (4):

$$10^{-6} x_j^{dry} = \frac{n_j}{n_{dry}} = \frac{\rho_j/M_j}{\rho_{dry}/M_{dry}} = \left( \frac{\rho_j}{\rho_{dry}} \right) \left( \frac{M_{dry}}{M_j} \right) = r_j \left( \frac{M_{dry}}{M_j} \right) \quad (13)$$

Using equation (8) we obtain an expression to convert from  $\text{kg kg}^{-1}$  over moist air to ppmv over dry air:

$$x_j^{dry} = 10^6 \left( \frac{q_j}{1 - q_{h2o}} \right) \left( \frac{M_{dry}}{M_j} \right) \quad (14)$$

## 4 The unit conversion for clouds

The optical properties of ice and water clouds in RTTOV are parameterized from ice water content ( $IWC$ ) and liquid water content ( $LWC$ ) in  $\text{g m}^{-3}$ , respectively. However, NWP models provide cloud information in units of mass mixing ratio (or specific cloud ice or liquid water content) in  $\text{kg kg}^{-1}$ , i.e. ratio between the mass of ice/liquid water and the mass of moist air. If we consider that the air follows the perfect gas law, then the conversion for ice cloud is:

$$IWC = q_{ice} \frac{10^2 P}{R_{moist} T} \quad (15)$$

where  $q_{ice}$  is the mass mixing ratio for ice cloud,  $P$  is the atmospheric pressure in hPa,  $T$  is the atmospheric temperature in K and  $R_{moist}$  is the moist air gas constant (in  $\text{J g}^{-1} \text{K}^{-1}$ ) given by:

$$R_{moist} = R_{dry} \left( 1 + \frac{1 - \varepsilon}{\varepsilon} q_{h2o} \right) \quad (16)$$

where  $R_{dry}$  is the gas constant for dry air and  $q_{h2o}$  is the specific humidity (given as the ratio between the mass of water vapor and the mass of moist air). The equation (16) is demonstrated in [2] (Equation 2.31). The coefficient  $\varepsilon$  is given by:

$$\varepsilon = \frac{M_{h2o}}{M_{dry}} \quad (17)$$

The gas constant for dry air is given by:

$$R_{dry} = \frac{R}{M_{dry}} \quad (18)$$

The same equations are used for liquid clouds, by replacing  $IWC$  by  $LWC$  and  $q_{ice}$  by  $q_{liq}$  in Eq. (15)

## 5 The unit conversion for aerosols

The optical properties of aerosols in RTTOV are pre-calculated for one particle per  $\text{cm}^{-3}$ . To calculate the total optical properties within each aerosol layer, the pre-calculated optical properties have to be multiplied by the aerosol number concentration. As for clouds, NWP models such as MACC provide aerosol information in unit of mass mixing ratio in  $\text{kg kg}^{-1}$  (ratio between the aerosol mass and the mass of moist air). For aerosols the unit conversion is more complex than for clouds since the RTTOV aerosol unit is in number concentration instead of mass concentration. Fortunately, for RTTOV aerosol types based on OPAC [1], the conversion term between mass concentration and number concentration, called  $M^*$  (in  $\text{g m}^{-3}/\text{part.cm}^{-3}$ ), is provided for each OPAC aerosol types (number 1 to 10 in RTTOV) in Table 1c of [1]. The conversion of the mass mixing ratio ( $q_i$ ) of aerosol type  $i$  in number concentration ( $N_i$ ) is given by:

$$N_i = q_i \frac{10^2 P}{R_{moist} T M_i^*} \quad (19)$$

where  $q_i$  is the mass mixing ratio for RTTOV aerosol type  $i$ ,  $P$  is the atmospheric pressure in hPa,  $T$  is the atmospheric temperature in K and  $R_{moist}$  is given by equation (16). The terms  $M_i^*$  in  $\text{g m}^{-3}/\text{part.cm}^{-3}$  are given in Table 1 for each RTTOV aerosol model. For other aerosol types not based on OPAC (number 11: volcanic ash or VOLA; number 12: new volcanic ash or VAPO; and number 13: Asian dust or ASDU), the conversion term  $M_i^*$  is calculated from the particle size distribution (PSD)  $n_i(r)$  using the same assumptions than for OPAC. If we consider that the aerosol is spherical then:

$$M_i^* = \int_{r_{min}}^{r_{max}} \frac{4}{3} \pi r^3 \rho_i n_i(r) dr \quad (20)$$

where  $r_{min}$  and  $r_{max}$  are the minimum and maximum radius of the PSD and  $\rho_i$  is the particle density of type  $i$ . In [1],  $r_{max}$  is fixed to 7.5  $\mu\text{m}$ . For volcanic ash aerosol model of RTTOV (named VOLA), the particle size distribution is a modified Gamma size distribution, given by:

$$n_i(r) = N_i a r^\alpha \exp \left[ -\frac{\alpha}{\gamma} \left( \frac{r}{r_{mod,i}} \right)^\gamma \right] \quad (21)$$

Table 1: Values  $M_i^*$  of Eq. (19) for RTTOV aerosol types

Type	RTTOV Number	$M_i^*$
INSO	1	$2.37 \times 10^{-5}$
WASO	2	$1.34 \times 10^{-9}$
SOOT	3	$5.99 \times 10^{-11}$
SSAM	4	$8.02 \times 10^{-7}$
SSCM	5	$2.24 \times 10^{-4}$
MINM	6	$2.78 \times 10^{-8}$
MIAM	7	$5.53 \times 10^{-6}$
MICM	8	$3.24 \times 10^{-4}$
MITR	9	$1.59 \times 10^{-5}$
SUSO	10	$2.28 \times 10^{-8}$
VOLA	11	39.258
VAPO	12	13.431
ASDU	13	$1.473 \times 10^{-4}$

where the different coefficients are  $a=5461$ ,  $\alpha=1$ ,  $\gamma=0.5$  and  $r_{mod,i}=0.0156 \mu\text{m}$ . For the integration of Eq. (20), we used  $r_{min} = 0.005 \mu\text{m}$  and  $r_{max}=20 \mu\text{m}$  ([3]). By considering that the calculation is relative to 1 particle per  $\text{cm}^{-3}$  (i.e.,  $N_i=1$ ), then the value of  $M^*$  is given in Table 1.

For the new volcanic ash aerosol model (named VAPO), a log-normal PSD is used, i.e.:

$$n_i(r) = \frac{N_i}{\sqrt{2\pi} r \log(\sigma_i) \ln(10)} \exp \left[ -\frac{1}{2} \left( \frac{\log(r) - \log(r_{mod,i})}{\log(\sigma_i)} \right)^2 \right] \quad (22)$$

with  $r_{mod,i}=0.610482 \mu\text{m}$  and  $\sigma_i=1.85$ . Again, by considering  $r_{min} = 0.005 \mu\text{m}$ ,  $r_{max}=7.5 \mu\text{m}$  and that the calculation is relative to 1 particle per  $\text{cm}^{-3}$  (i.e.,  $N_i=1$ ), then the value of  $M^*$  is also given in Table 1.

For the Asian dust aerosol model (named ASDU), the particle size distribution is given by a linear combination of log-normal PSDs (Eq. (22)) for mineral nucleated (MINM), accumulated (MIAM) and coalesced (MICM) types and with relative weights of 0.862, 0.136 and  $0.217 \times 10^{-2}$ , respectively. The parameters of the log-normal PSDs are given in Table 2 of [3]. Again, by considering that the calculation is relative to 1 particle per  $\text{cm}^{-3}$  (i.e.,  $N_i=1$ ) and by integrating between 0.005 and 7.5  $\mu\text{m}$ , the value of  $M^*$  is also given in Table 1.

## References

- [1] M. Hess, P. Koepke, and I. Schult. Optical properties of aerosols and clouds: The software package opac. *Bulletin of the American Meteorological Society*, 79(5):831–844, 1998.
- [2] M. Z. Jacobson. *Fundamentals of atmospheric modelling*. Cambridge Eds., 2005.
- [3] M. Matricardi. The inclusion of aerosols and clouds in rtiasi, the ecmwf fast radiative transfer model for the infrared atmospheric sounding interferometer. Technical Report 474, ECMWF, 2005.